

IFW

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

Date of deposit with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450: Susan D. Reinecke
Date: May 21, 2004 Name: Susan D. Reinecke Signature: Susan D. Reinecke

**BRINKS
HOFFER
GILSON
& LIONE**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: **James D. Pennock et al.**
Appln. No.: **10/717,247**
Filed: **November 19, 2003**
For: **MUSICAL EFEFCT CUSTOMIZATION
SYSTEM**
Attorney Docket No: **11336-657 (P01008USD)**

Examiner: **TBA**
Art Unit: **2837**

Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

- Sir: **Attached is/are:**
- ☒ Request for Corrected Filing Receipt (in dup. 2 pages each); copy of Filing Receipt (2 pages) and copy of Declaration for parent application (2 pages).
 - ☒ Return Receipt Postcard

Fee calculation:

- ☐ No additional fee is required.
- ☐ Small Entity.
- ☐ An extension fee in an amount of \$ _____ for a _____-month extension of time under 37 C.F.R. § 1.136(a).
- ☐ A petition or processing fee in an amount of \$ _____ under 37 C.F.R. § 1.17(_____).
- ☐ An additional filing fee has been calculated as shown below:

					Small Entity			Not a Small Entity	
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee	or	Rate	Add'l Fee
Total		Minus			x \$9=			x \$18=	
Indep.		Minus			x 43=			x \$86=	
First Presentation of Multiple Dep. Claim					+\$145=			+\$290=	
					Total	\$		Total	\$

Fee payment:

- ☐ A check in the amount of \$ _____ to cover the above-identified fee(s) is enclosed.
- ☐ Please charge Deposit Account No. 23-1925 in the amount of \$ _____. A copy of this Transmittal is enclosed for this purpose.
- ☐ Payment by credit card in the amount of \$ _____ (Form PTO-2038 is attached).
- ☒ The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.

Respectfully submitted,

May 21, 2004
Date

Susan D. Reinecke
Susan D. Reinecke, Reg. No. 40,198
Brinks Hofer Gilson & Lione
P.O. Box No. 10395
Chicago, IL 60610



I hereby certify that this correspondence is being deposited
in the United States Postal Service, with sufficient
postage, as first class mail in an envelope addressed to:
Commissioner for Patents, P.O. Box 1450, Alexandria, VA
22313-1450

on May 21, 2004

Date of Deposit

Susan D. Reinecke, Reg. No. 40,198

Name of applicant, assignee or
Registered Representative

Susan D. Reinecke

Signature

May 21, 2004

Date of Signature

Our Case No. 11336/657 (P01008USD)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: James D. Pennock et al. Serial No. 10/717,247 Filing Date: November 19, 2003 For MUSICAL EFFECT CUSTOMIZATION SYSTEM	Examiner: TBA Group Art Unit No.: 2837
---	---

REQUEST FOR CORRECTION OF FILING RECEIPT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Attention: Application Processing Division
Customer Correction Branch

Sir:

Applicant requests the issuance of a Corrected Filing Receipt for the above-referenced divisional patent application (the "Application"), and in support of this request respectfully states:

1. The Application is a divisional application of U.S. Serial No. 10/037,510
(the parent case);


2. The Application was filed on November 19, 2003 and included a copy of the Declaration for the Parent Case (a copy of which is enclosed);
3. The Declaration lists the name and residence of the first inventor as James D. Pennock, Salt Lake City, Utah.

However, the Filing Receipt for the Application lists the residence for the first inventor, James D. Pennock, as "Lake City, Utah". Applicant hereby requests that a Corrected Filing Receipt be issued to accurately state the residence of James D. Pennock as - Salt lake City, Utah-.

The residence of James D. Pennock was correctly indicated on the Declaration filed with the Application, and thus the error on the Filing receipt was due to the Patent and Trademark Office. Therefore, it is believed that no fees are required for the correction of this error, however should any fees be required, the Commissioner is hereby authorized to charge any such fees to Deposit Account No. 23-1925. A duplicate copy of this request is enclosed.

Respectfully submitted,

Dated May 21, 2004


Susan D. Reinecke
Registration No. 40,198

BRINKS HOFER GILSON & LIONE
P.O. BOX 10395
CHICAGO, ILLINOIS 60610
Tel. (312) 321-4200
Fax (312) 321-4299



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/717,247	11/19/2003	2837	856	11336/657	8	12	4

CONFIRMATION NO. 7749

John F. Nethery
BRINKS HOFER GILSON & LIONE
P.O. BOX 10395
CHICAGO, IL 60610

FILING RECEIPT



OC000000012411066

Date Mailed: 04/22/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

Applicant(s)

James D. Pennock, Lake City, UT;
Robin M. Urry, Draper, UT;
John D. Hanson, West Jordan, UT;
Jeremy Geisler, Sandy, UT;

"SALT"

Assignment For Published Patent Application

HARMAN INTERNATIONAL INDUSTRIES, INCORPORATED, Northridge, CA;

Domestic Priority data as claimed by applicant

This application is a DIV of 10/037,510 01/04/2002 PAT 6,664,460
which claims benefit of 60/260,048 01/05/2001

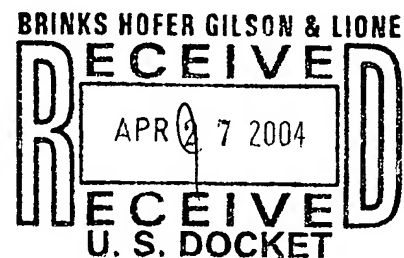
Foreign Applications

If Required, Foreign Filing License Granted: 02/20/2004

Projected Publication Date: 07/29/2004

Non-Publication Request: No

Early Publication Request: No



Title

Musical effect customization system

Preliminary Class

081

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).